

ANTI-MONEY LAUNDERING RISK ASSESSMENT QUESTIONNAIRE APPENDIX  
防洗錢風險評估問卷附錄

**Appendix 1: Corruption Perceptions Index (The rank is based on the alphabetical order.)**

<b>A</b>			
<b>Country</b>	<b>Score</b>	<b>Country</b>	<b>Score</b>
Afghanistan	5	Albania	3
Algeria	3	Angola	5
Argentina	3	Armenia	3
Australia	1	Austria	1
Azerbaijan	5		

<b>B</b>			
<b>Country</b>	<b>Score</b>	<b>Country</b>	<b>Score</b>
Bahamas	1	Bahrain	3
Bangladesh	5	Barbados	1
Belarus	5	Belgium	1
Benin	3	Bhutan	1
Bolivia	5	Bosnia and Herzegovina	3
Botswana	3	Brazil	3
Bulgaria	3	Burkina Faso	3
Burundi	5		

<b>C</b>			
<b>Country</b>	<b>Score</b>	<b>Country</b>	<b>Score</b>
Cambodia	5	Cameroon	5
Canada	1	Cape Verde	1
Central African Republic	5	Chad	5
Chile	1	China	3
Colombia	3	Comoros	5
Congo Republic	5	Costa Rica	1
Cote d'Ivoire	3	Croatia	3
Cuba	3	Cyprus	1
Czech Republic	1		

<b>D</b>			
<b>Country</b>	<b>Score</b>	<b>Country</b>	<b>Score</b>
Democratic Republic of the Congo	5	Denmark	1
Djibouti	3	Dominica	1

<b>E</b>			
<b>Country</b>	<b>Score</b>	<b>Country</b>	<b>Score</b>
Ecuador	3	Egypt	3
El Salvador	3	Eritrea	5
Estonia	1	Ethiopia	3
Eswatini	3		

<b>F</b>			
<b>Country</b>	<b>Score</b>	<b>Country</b>	<b>Score</b>
Finland	1	France	1

G			
Country	Score	Country	Score
Gabon	3	Gambia	3
Georgia	1	Germany	1
Ghana	3	Greece	3
Guatemala	5	Guinea	5
Guinea-Bissau	5	Guyana	3

H			
Country	Score	Country	Score
Haiti	5	Honduras	5
Hong Kong	1	Hungary	3

I			
Country	Score	Country	Score
Iceland	1	India	3
Indonesia	3	Iran	5
Iraq	5	Ireland	1
Israel	1	Italy	1

J			
Country	Score	Country	Score
Jamaica	3	Japan	1
Jordan	3		

K			
Country	Score	Country	Score
Kazakhstan	3	Kenya	5
Kosovo	3	Korea (North)	5
Korea (South)	1	Kuwait	3
Kyrgyzstan	5		

L			
Country	Score	Country	Score
Laos	5	Latvia	1
Lebanon	5	Lesotho	3
Liberia	5	Libya	5
Lithuania	1	Luxembourg	1

M			
Country	Score	Country	Score
Madagascar	5	Malaysia	3
Malawi	3	Mali	5
Malta	1	Mauritania	5
Mauritius	1	Mexico	3
Moldova	3	Mongolia	3
Montenegro	3	Morocco	3
Myanmar	5	Mozambique	5

N			
Country	Score	Country	Score
Namibia	3	Nepal	3
Netherlands	1	New Zealand	1
Nicaragua	5	Niger	3
Nigeria	5	Norway	1
North Macedonia	3		

O			
Country	Score	Country	Score
Oman	1		

P			
Country	Score	Country	Score
Pakistan	5	Panama	3
Papua New Guinea	3	Paraguay	3
Philippines	3	Peru	3
Poland	1	Portugal	1

Q			
Country	Score	Country	Score
Qatar	1		

R			
Country	Score	Country	Score
Romania	3	Russia	5
Rwanda	1		

S			
Country	Score	Country	Score
Saint Vincent and the Grenadines	1	Saudi Arabia	3
Sao Tome and Principe	3	Serbia	3
Senegal	3	Sierra Leone	3
Seychelles	1	Slovenia	1
Singapore	1	Somalia	5
Slovakia	1	South Sudan	5
South Africa	3	Sri Lanka	3
Spain	1	Suriname	3
Sudan	5	Sweden	1
Switzerland	1	Syria	5

T			
Country	Score	Country	Score
Taiwan	1	Tajikistan	5
Tanzania	3	Thailand	3
Togo	5	Timor-Leste	3
Tunisia	3	Trinidad and Tobago	3
Turkmenistan	5	Turkey	3

U			
Country	Score	Country	Score
Uganda	5	Ukraine	3
United Arab Emirates	1	United Kingdom	1
United States	1	Uruguay	1
Uzbekistan	5		

V			
Country	Score	Country	Score
Vanuatu	3	Vietnam	3
Venezuela	5		

Y			
Country	Score	Country	Score
Yemen	5		

Z			
Country	Score	Country	Score
Zambia	3	Zimbabwe	5

#### Others

Please fill in the country which is not listed above. The score will be subject to the review of Compliance Department.

The country in which the applicant is residing: \_\_\_\_\_

Risk score assigned by Compliance Department: \_\_\_\_\_

*Source: 2021 Corruption Perceptions Index from Transparency International*

**Appendix 2: Industry indicator**

<b>Low risk (Score: 1)</b>	
(1) Industries with regulatory authorities; or (2) Low cash intensive industries	
Accounting and Auditing	Accounting and Taxation
Artist and Performer	Banks
Beauty and Healthcare	Building and Construction
Charity	Decoration – Repair and Maintenance
Dispensary	Clinics and hospitals
Education	Engineering
Financial services – Securities firms	Financial services – Asset management firms
Financial services – Research	Financial services – Rating agencies
Food and Catering	Freight and Logistics
Government or governmental organization	Hotels and hostels
Information Technology	Insurance and reinsurance
Logistics	Manufacturing – Cars and Automobiles
Manufacturing – Food and beverages	Manufacturing – Clothing and apparels
Manufacturing – Toys	Manufacturing – Construction materials
Media and Advertising	Newspapers and Publishing
Nursery and Healthcare	Pharmaceutical
Property Management	Public utilities
Recruitment agency	Science, Research and Development
Shipping and Containers	Social services
Telecommunication	Tourism
Trading – Cars and Automobiles	Trading – Food and beverages
Trading – Textile and Clothing	Trading – Toys
Wholesale and retail – Cars and Automobiles	Wholesale and retail – Clothing and apparels
Wholesale and retail – Food and beverages	Wholesale and retail – Ironware and cement
Wholesale and retail – Toys	

<b>Medium risk (Score: 3)</b>	
On-line shopping vendors	Nightclubs
Real estate agency	Auction, trade on jewelry

<b>High risk (Score: 5)</b>	
(1) Industries without regulatory authorities; or (2) High cash intensive industries	
Casino	Money changer
Pawn shop	Remittance agent
Bullion trading	Military arms sales or trading

**Others**

Please fill in the industry type which is not listed above. The score will be subject to the review of Compliance Department.

The industry in which the applicant is involved: \_\_\_\_\_

Risk score assigned by Compliance Department: \_\_\_\_\_

Potential AML risk level	Range of scores
Low	10-24
Medium	25-37
High	38-48